- 45 CFR part 16—Procedures of the Departmental Grant Appeals Board
- 45 CFR part 46—Protection of human subjects
- 45 CFR part 74—Uniform administrative requirements for awards and subawards to institutions of higher education, hospitals, other nonprofit organizations, and commercial organizations; and certain grants and agreements with states, local governments and Indian tribal governments
- 45 CFR part 75—Informal grant appeals procedures
- 45 CFR part 76—Governmentwide debarment and suspension (nonprocurement) and governmentwide requirements for drug-free workplace (grants)
- 45 CFR part 80—Nondiscrimination under programs receiving Federal assistance through the Department of Health and Human Services effectuation of title VI of the Civil Rights Act of 1964
- 45 CFR part 81—Practice and procedure for hearings under part 80 of this title
- 45 CFR part 84—Nondiscrimination on the basis of handicap in programs and activities receiving Federal financial assistance
- 45 CFR part 86—Nondiscrimination on the basis of sex in education programs and activities receiving or benefiting from Federal financial assistance
- 45 CFR part 91—Nondiscrimination on the basis of age in HHS programs or activities receiving Federal financial assistance
- 45 CFR part 92—Uniform administrative requirements for grants and cooperative agreements to state and local governments 45 CFR part 93—New restrictions on lobbying 59 FR 14508 (March 28, 1994)—NIH Guidelines on the Inclusion of Women and Minorities as Subjects in Clinical Research

[Note: This policy is subject to change, and interested persons should contact the Office of Research on Women's Health, NIH, Room 201, Building 1, MSC 0161, Bethesda, MD 20892-0161 (301-402-1770; not a toll-free number) to obtain references to the current version and any amendments.]

59 FR 34496 (July 5, 1994)—NIH Guidelines for Research Involving Recombinant DNA Molecules.

Note: This policy is subject to change, and interested persons should contact the Office of Recombinant DNA Activities, NIH, Suite 323, 6000 Executive Boulevard, MSC 7010, Bethesda, MD 20892-7010 (301-496-9838; not a toll-free number) to obtain references to the current version and any amendments.]

"PHS Grants Policy Statement," DHHS Publication No. (OASH) 94-50,000 (Revised April 1, 1994), as amended by Addendum, dated January 24, 1995.

NOTE: This policy is subject to change, and interested persons should contact the Extramural Outreach and Information Resources

Office (EOIRO), Office of Extramural Research, 6701 Rockledge Drive, Room 6208, MSC 7910, Bethesda, MD 20892-7910 (301-435-0714; not a toll-free number) to obtain references to the current version and any amendments. Information may also be obtained by contacting the EOIRO via its email address (asknih@odrockm1.od.nih.gov) and by browsing the NIH Home Page site on the World Wide Web (http://www.nih.gov).]

"Public Health service Policy on Humane Care and Use of Laboratory animals," Office for Protection from Research Risks, HIH (Revised September 1986).

Note: This policy is subject to change, and interested persons should contact the Office for Protection for Research Risks, NIH, Suite 3B01, 6100 Executive Boulevard, MSC 7507, Rockville, MD 20852-7507 (301-496-7005; not a toll-free number) to obtain references to the current version and any amendments.]

# PART 66—NATIONAL RESEARCH SERVICE AWARDS

## Subpart A—Direct Awards

Sec.

66.101 Applicability.

66.102 Definitions. 66.103 Eligibility.

66.104 Application.

66.105 Requirements.

66.106 Awards.

66.107 Payments to awardees.

66.108 Payments to institutions.

66.109 Termination.

66.110 Service, payback, and recovery requirements.

66.111 Suspension, waiver, and cancellation.

66.112 Other HHS regulations and policies that apply.

66.113 Publications.

66.114 Copyright.

66.115 Additional conditions.

# Subpart B—Institutional Grants

66.201 Applicability.

66.202 Definitions.

66.203 Eligibility. 66.204 Application.

66.205 Requirements.

66.206 Grant awards.

66.207 Other HHS regulations and policies that apply.

66.208 Additional conditions.

AUTHORITY: 42 U.S.C. 216, 288.

Source: 48 FR 24880, June 3, 1983, unless otherwise noted.

## § 66.101

# **Subpart A—Direct Awards**

## §66.101 Applicability.

The regulations in this subpart apply to National Research Service Awards made by the Secretary to individuals for research and training to undertake research, under section 487 of the Public Health Service Act, as amended (42 U.S.C. 288).

[66 FR 29499, May 31, 2001]

## § 66.102 Definitions.

As used in this subpart:

- (a) Act means the Public Health Service Act, as amended.
- (b) Secretary means the Secretary of Health and Human Services and any other officer or employee of the Department of Health and Human Services to whom the authority involved has been delegated.
- (c) Nonprofit institution means a corporation or association in which no part of the net earnings inures or may lawfully inure to the benefit of any private shareholder or individual.
- (d) Award means a National Research Service Award under section 487 of the Act (42 U.S.C. 288).
- (e) Residency means post-graduate training for doctors of medicine, osteopathy, dentistry, optometry, and podiatry, nurses, and other individuals providing health care directly to patients, in which the majority of the time is spent in non-research clinical training.
- (f) Noncitizen national of the United States means a person who, though not a citizen of the United States, owes permanent allegiance to the United States [8 U.S.C. 1101(a) (22)].
- (g) Predoctoral training means training at the post-baccalaureate level in a program leading to the award of a doctor of philosophy of science, or equivalent degree. For purposes of Awards under the Minority Access to Research Careers programs of the National Institute of General Medical Sciences and the Career Opportunities in Research Education and Training programs of the National Institute of Mental Health, predoctoral training also means training in a program leading to the award of a baccalaureate in science or equivalent degree.

(h) Postdoctoral training means training of individuals holding a doctor of philosophy, science, medicine, dentistry, osteopathy, optometry, podiatry, veterinary medicine, engineering, nursing sciences, public health, or equivalent degree.

 $[48\ FR\ 24880,\ June\ 3,\ 1983,\ as\ amended\ at\ 66\ FR\ 29499,\ May\ 31,\ 2001]$ 

### § 66.103 Eligibility.

To be eligible for a National Research Service Award an individual must:

- (a) Be a citizen, noncitizen national of the United States, or lawfully admitted to the United States for permanent residence at the time of the award
- (b) Propose to engage in such research, or training to undertake research, in a program specified in section 487(a)(1)(A) of the Act; and
- (c) Propose to engage in such research or training to undertake research on a full-time basis except in cases of disability or pressing family need

[48 FR 24880, June 3, 1983, as amended at 66 FR 29500, May 31, 2001]

### §66.104 Application.

- (a) Eligible individuals may apply for an Award using the form and by the dates the Secretary prescribes.
- (b) In addition to any other pertinent information that the Secretary may require, each application shall detail:
- (1) The applicant's educational background and other qualifications and experience, including previous academic and professional degrees;
- (2) The subject area of the proposed research or training;
  - (3) The proposed period of Award:
- (4) If the proposed period of Award would provide the individual with aggregate support in excess of five years at the predoctoral level or three years at the postdoctoral level, the justification for this request; and
- (5) The availability of necessary resources and facilities at the institution